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	Filing Date		2001-03-08	
	First Named Inventor	Keith		
	Art Unit	3691		
	Examiner Name	Narayanswamy Subramanian		
	Attorney Docket Number	125468		

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1	HANDA, P., et al., "A Tale of Two Trading Venues: Electronically Delivered Orders vs. Floor Brokered Orders on the American Stock Exchange," Proceedings of the 32nd Hawaii International Conference on Systems Sciences [HICSS-32], January 1999, 7 pages.	<input type="checkbox"/>
2	OLSEN, R.A., "Behavioral Finance and Its Implications for Stock-Price Volatility," Financial Analysts Journal 54(2), March/April 1998, < <a href="http://proquest.umi.com/pqdweb?index=3&amp;did=28950600&amp;SrchMode=1&amp;sid=2&amp;Fmt=4&amp;V...">http://proquest.umi.com/pqdweb?index=3&amp;did=28950600&amp;SrchMode=1&amp;sid=2&amp;Fmt=4&amp;V...</a> > [retrieved March 28, 2008], 14 pages.	<input type="checkbox"/>
3	U.S. Securities and Exchange Commission, "Special Study: Payment for Order Flow and Internalization in the Options Markets," Office of Compliance Inspections and Examinations/Office of Economic Analysis, December 2000, < <a href="http://www.sec.gov/news/studies/ordpay.htm">http://www.sec.gov/news/studies/ordpay.htm</a> > [retrieved March 25, 2008], pages 1 and 12-14.	<input type="checkbox"/>

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